

FMC No.: 026417

NON-VESSEL OPERATING COMMON CARRIER

EFFECTIVE DATE: 06DEC2016

PUBLISHED DATE: 06DEC2016

EXPIRATION DATE:

CONTROLLED CARRIER STATUS: NONE

TITLE PAGE

TARIFF NO. 01

NRA GOVERNING RULES TARIFF

NAMING RULES AND REGULATIONS ON CARGO MOVING

IN CONTAINERS AND BREAKBULK

BETWEEN

U.S. PORTS AND POINTS

AND

WORLD PORTS AND POINTS

GOLDEN OCEAN LINE LTD. is a foreign-domiciled Registered Non-Vessel Operating Common Carrier (NVOCC) with the Federal Maritime Commission (FMC), operating under FMC organization number 026417.

NOTICE TO TARIFF USERS

Carrier has opted to be exempt from tariff publication requirements pursuant to 46 C.F.R. §520 and 532. In that respect Carrier has opted for exclusive use of Negotiated Rate Arrangements ("NRAs").

NVOCC NRA means the written and binding arrangement between an NRA shipper or consignee and eligible NVOCC to provide specific transportation service for a stated cargo quantity, from origin to destination on and after receipt of the cargo by the Carrier or its agent (originating carrier in the case of through Transportation).

Carrier shall issue quotation sheets, booking confirmations, e-mail communications and/or other writings with applicable rates and charges for the shipments subject of the NRA. Shipper or the Consignee must explicitly respond by e-mail or other writing (collectively "the writings") accepting Carrier's offer which will constitute an offer by Carrier and acceptance by Shipper or Consignee for transportation services pursuant to 46 C.F.R. §520.13 and §532. The Carrier may provide to Shipper upon request a written NRA to be signed by Shipper or Consignee. The offer terms provided by Carrier contained in the writings shall be a valid offer for thirty (30) days, unless otherwise rescinded by the Carrier prior to receiving Shipper's acceptance. Carrier's receipt of Shipper's or Consignee's acceptance in writing constitutes final acceptance by Shipper or Consignee of this offer, and the terms of the NRA shall bind the parties. If the writing provided by shipper or consignee to accept the offer does not contain the legal name and address of the shipper or consignee and its affiliates agreeing to the NRA, the shipper or consignee must provide these by separate writing which shall be considered part of the NRA. The effective date of the NRA shall be the date of Carrier's receipt of Shipper's and or Consignee's acceptance in writing, unless the parties otherwise agree in writing. All applicable origin and destination local terminal and/or port charges shall apply to all NRAs.

Rates may not be modified in an NRA after the time the shipment is received by the Carrier or its agent (including originating carriers in the case of through transportation).

PUBLISHED BY:

GOLDEN OCEAN LINE LTD.

6TH FLOOR, FORTUNE CENTRE

NO. 44-48 YUN PING ROAD

CAUSEWAY BAY, HONG KONG

PUBLISHING OFFICER: FRIEDER STEINWARDER

EMAIL: FS@WCL.DE

TEL: 49 4102 70731-13

TARIFF BOND RULE NO. 24

Tariff Rule Information

026417: MARITIME UNITED OPERATOR SL
NRA RULES TARIFF NO. XX - Between (US and World)

AMENDMENT NO. O

Rule 23: Carrier Terminal Rules and Charges

Effective: 06DEC2016 Thru: NONE Expires: NONE Publish: 06DEC2016

Carrier does not operate terminals at origin or destination. Except as otherwise provided in the individual NRA all shipments that are subject to origin, destination, terminal, local or foreign charges shall be for the account of the cargo.

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Tariff Rule Information

026417: MARITIME UNITED OPERATOR SL
NRA RULES TARIFF NO. XX - Between (US and World)

AMENDMENT NO. O

Rule 23-01: Destination Terminal Handling Charges (DTHC)

Effective: 06DEC2016 Thru: NONE Expires: NONE Publish: 06DEC2016

In destination countries where DTHC are required to be prepaid, Carrier shall require the same prior to shipment.

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Tariff Rule Information

026417: MARITIME UNITED OPERATOR SL
NRA RULES TARIFF NO. XX - Between (US and World)

AMENDMENT NO. O

Rule 24: NVOCCs in Foreign Commerce: Bonds and Agents

Effective: 06DEC2016 Thru: NONE Expires: NONE Publish: 06DEC2016

A. Bonding of NVOCC

1. Carrier has furnished the Federal Maritime Commission a bond in the amount required by 46 CFR §§ 515, 521 to ensure the financial responsibility of Carrier for the payment of any judgment for damages or settlement arising from its transportation related activities or order for reparations issued pursuant to Section 11 of the Shipping Act, 1984 or penalty assessed pursuant to Section 13 of the Act.

2. **Bond No. 2016130003**

3. Issued By: American Alternative Insurance Corporation

555 College Road East, Princeton, NJ 08543

Agent for Service of Process

1. Carrier's legal agent for the service of judicial and administrative process, including subpoenas is Carlos Rodriguez, Esq., 750 17th Street, NW, Suite 900, Washington, DC 20006.

2. In any instance in which the Carrier cannot be served because of death, disability or unavailability, the Secretary of the Federal Maritime Commission will be deemed to be the Carrier's legal agent for service of process.

3. Service of administrative process, other hand subpoenas, may be effected upon the Carrier by mailing a copy of the documents to be served by certified or registered mail, return receipt requested.

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Tariff Rule Information

026417: GOLDEN OCEAN LINE LTD.
NRA RULES TARIFF NO. XX - Between (US and World)

AMENDMENT NO. O

Rule 25: Certification of Shipper Status in Foreign Commerce

Effective: 06DEC2016 Thru: NONE Expires: NONE Publish: 06DEC2016

If the shipper or a member of a shipper's association tendering cargo to the Carrier is identified as an NVOCC, the carrier shall obtain documentation that the NVOCC has a tariff and a bond on file with the US Federal Maritime Commission as required by Sections 8 and 19 of the Shipping Acts of 1984 and 1998 before the Carrier accepts or transports cargo for the account of the NVOCC.

A copy of the tariff rule published by the NVOCC and in effect under 46 CFR §§ 520, 531 and 532 will be accepted by the Carrier as documenting the NVOCC's compliance with the FMC tariff and bonding requirements of the Acts.

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NVOCC BOND

PRIN ID #: 20-161300030
BOND # 2016130003

ACCT LOC ID: 100028183

Form FMC-48
Federal Maritime Commission
Ocean Transportation Intermediary (OTI) Bond
(Section 19, Shipping Act of 1984 (46 U.S.C. 40901-40904))

OMB No. 3072-0018
Expires 03/31/2019

GOLDEN OCEAN LINE LTD. [a NVOCC], as Principal (hereinafter "Principal"), and **American Alternative Insurance Corporation**, as Surety (hereinafter "Surety") are held and firmly bound unto the United States of America in the sum of \$150,000.00 for the payment of which sum we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally.

Whereas, Principal operates as an OTI in the waterborne foreign commerce of the United States in accordance with the Shipping Act of 1984, 46 U.S.C. 40101– 41309, and, if necessary, has a valid tariff published pursuant to 46 CFR part 515 and 520, and pursuant to section 19 of the Shipping Act (46 U.S.C. 40901–40904), files this bond with the Commission;

Whereas, this bond is written to ensure compliance by the Principal with section 19 of the Shipping Act (46 U.S.C. 40901–40904), and the rules and regulations of the Federal Maritime Commission relating to evidence of financial responsibility for OTIs (46 CFR part 515), this bond shall be available to pay any judgment obtained or any settlement made pursuant to a claim under 46 CFR 515.23 for damages against the Principal arising from the Principal's transportation-related activities under the Shipping Act, or order for reparations issued pursuant to section 11 of the Shipping Act (46 U.S.C. 41301–41302, 41305–41307(a)), or any penalty assessed against the Principal pursuant to section 13 of the Shipping Act (46 U.S.C. 41107–41109).

Now, Therefore, The condition of this obligation is that the penalty amount of this bond shall be available to pay any judgment or any settlement made pursuant to a claim under 46 CFR 515.23 for damages against the Principal arising from the Principal's transportation-related activities or order for reparations issued pursuant to section 11 of the Shipping Act (46 U.S.C. 41301–41302, 41305–41307(a)), or any penalty assessed against the Principal pursuant to section 13 of the Shipping Act (46 U.S.C. 41107–41109).

This bond shall inure to the benefit of any and all persons who have obtained a judgment or a settlement made pursuant to a claim under 46 CFR § 515.23 for damages against the Principal arising from its transportation-related activities or order of reparation issued pursuant to section 11 of the Shipping Act (46 U.S.C. 41301–41302, 41305–41307(a)), and to the benefit of the Federal Maritime Commission for any penalty assessed against the Principal pursuant to section 13 of the Shipping Act (46 U.S.C. 41107–41109). However, the bond shall not apply to shipments of used household goods and personal effects for the account of the Department of Defense or the account of federal civilian executive agencies shipping under the International Household Goods Program administered by the General Services Administration.

The liability of the Surety shall not be discharged by any payment or succession of payments hereunder, unless and until such payment or payments shall aggregate the penalty amount of this bond, and in no event shall the Surety's total obligation hereunder exceed said penalty amount, regardless of the number of claims or claimants.

This bond is effective the 1ST day of OCTOBER, 2016 and shall continue in effect until discharged or terminated as herein provided. The Principal or the Surety may at any time terminate this bond by mail or email (bcl@fmc.gov) written notice to the Director, Bureau of Certification and Licensing, Federal Maritime Commission, Washington, DC 20573. Such termination shall become effective thirty (30) days after receipt of said notice by the Commission. The Surety shall not be liable for any transportation-related activities of the Principal after the expiration of the 30-day period but such termination shall not affect the liability of the Principal and Surety for any event occurring prior to the date when said termination becomes effective.

The Surety consents to be sued directly in respect of any bona fide claim owed by Principal for damages, reparations or penalties arising from the transportation-related activities under the Shipping Act of Principal in the event that such legal liability has not been discharged by the Principal or Surety after a claimant has obtained a final judgment (after appeal, if any) against the Principal from a United States Federal or State Court of competent jurisdiction and has complied with the procedures for collecting on such a judgment pursuant to 46 CFR 515.23, the Federal Maritime Commission, or where all parties and claimants otherwise mutually consent, from a foreign court, or where such claimant has become entitled to payment of a specified sum by virtue of a compromise settlement agreement made with the Principal and/or Surety

pursuant to 46 CFR 515.23, whereby, upon payment of the agreed sum, the Surety is to be fully, irrevocably and unconditionally discharged from all further liability to such claimant; provided, however, that Surety's total obligation hereunder shall not exceed the amount set forth in 46 CFR 515.21, as applicable.

The underwriting Surety will promptly notify the Director, Bureau of Certification and Licensing, Federal Maritime Commission, Washington, DC 20573, in writing by mail or email (bcl@fmc.gov), of all claims made, lawsuits filed, judgments rendered, and payments made against this bond.

Signed and sealed this 29TH day of SEPTEMBER, 2016.
(Please type name of signer under each signature.)

Individual Principal or Partner	Business Address
Individual Principal or Partner	Business Address
Individual Principal or Partner	Business Address
Trade Name, if any	

GOLDEN OCEAN LINE LTD.
Corporate Principal

HONG KONG
State of Incorporation

Trade Name, if any
Frieder Steinwarder
By
FRIEDER STEINWARDER.
Title
DIRECTOR

6TH FLOOR, FORTUNE CENTRE, NO 44-48 YUN PING ROAD, CAUSEWAY BAY, HONG KONG
Business Address
For and on behalf of
Golden Ocean Line Limited (Affix Corporate Seal)
黄金海岸海運有限公司

AMERICAN ALTERNATIVE INSURANCE CORPORATION
(A DELAWARE CORPORATION)
555 COLLEGE ROAD EAST
P.O. BOX 5241
PRINCETON, NJ 08543



Matthew L. Zehner
Matthew L. Zehner
Attorney in Fact

Authorized Signature(s)
Contact Address Requested by Surety:
Roanoke Insurance Group Inc.
Managing General Underwriters for
American Alternative Insurance Corporation
1475 E Woodfield Road, Suite 500
Schaumburg, IL 60173
Phone: 847-969-1420

FORM FMC-1

Form FMC-1

(March 1, 1999)
OMB No. 3072-0061
(Expires 12/31/2017)

ORGANIZATION INFORMATION

Organization Number: **026417**

Initial Notification: **Yes**

Legal Name of Registrant:

GOLDEN OCEAN LINE LTD.

Trade Name(s) of Registrant:

Street Address of Headquarters:

6TH FLOOR, FORTUNE CENTRE

NO. 44-48 YUN PING ROAD

City: **CAUSEWAY BAY**

State:

Zip Code:

Country: **Hong Kong**

Contact Person Name at Headquarters: **FRIEDER STEINWARDER**

Phone Number of Contact Person: **49 4102 70731-13**

Fax Number of Contact Person:

Email Address of Contact Person: **FS@WCL.DE**

Company Website: **www.golden-ocean-line.com**

TYPE OF REGISTRANT

VOCC: **No**

OTI/NVOCC: **Yes**

Marine Terminal Operator: **No**

Conference: **No**

Other: **No**

If you choose Yes for Other, please specify:

Comment - Describe Nature of Change(s):

Carrier has opted to be exempt from tariff publication requirements pursuant to 46 C.F.R. §520 and 532. In that respect Carrier has opted for use of Negotiated Rate Arrangements (“NRAs”).

PUBLISHER INFORMATION

Location of Tariff/Schedule(s) (if applicable):
(including Internet address or other information required to access the tariff(s)/schedule(s))

www.golden-ocean-line.com

Name of Tariff/Schedule(s) Publisher:

GOLDEN OCEAN LINE LTD.

Street Address of Tariff/Schedule(s) Publisher:

6TH FLOOR, FORTUNE CENTRE

NO. 44-48 YUN PING ROAD

City: **CAUSEWAY BAY**

State:

Zip Code:

Country: **Hong Kong**

Contact Person Name of Tariff/Schedule(s) Publisher:

FRIEDER STEINWARDER

Phone Number of Tariff/Schedule(s) Publisher:

49 4102 70731-13

Fax Number of Tariff/Schedule(s) Publisher:

E-mail Address of Tariff/Schedule(s) Publisher:

fs@wcl.de

**FMC NVOCC REGISTRATION
FMC-65**

Form FMC-65 Foreign-based Unlicensed NVOCC Registration/Renewal

REGISTRATION RENEWAL CHANGE

1. Legal Name of Registrant:

GOLDEN OCEAN LINE LTD.

Name listed above must match legal name on official documentation exactly, including punctuation

2. Trade Name(s):

3. Principal Place of Business Address - number, street, and room or suite number:

6th Floor, Fortune Centre, No. 44-48 Yun Ping Road

City or town, and Country (include applicable postal codes)

Causeway Bay, Hong Kong

4. Telephone Number (include country code):

49 4102 70731-13

Fax Number (include country code):

49 4102 70731-20

5. Name of Contact Person:

Frieder Steinwarder, Director

Email Address of Contact Person:

fs@wcl.de

6. Legal Agent for Service of Process in the U.S.:

Name of Agent:

Carlos Rodriguez, Esq.

Address - number, street, and room or suite number:

750 17th Street, NW, Suite 900

City or town, state, and zip code:

Washington, DC 20006

Telephone Number:

202-378-2365

Fax Number:

202-378-2319

Name of Contact Person:

Carlos Rodriguez, Esq.

Email Address of Contact Person:

carlos.rodriguez@huschblackwell.com

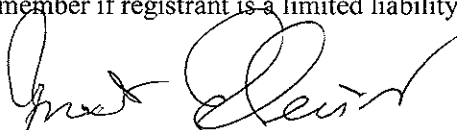
CERTIFICATION

I certify that I have read a copy of the Federal Maritime Commission's ocean transportation intermediary regulations, 46 CFR Part 515, and pertinent sections of the Shipping Act of 1984, as amended by the Ocean Shipping Reform Act of 1998 and the Coast Guard Authorization Act of 1998 (46 U.S.C. 40101 et seq.), governing ocean transportation intermediaries, and that I will abide by all the provisions thereof from this date forward.

I further certify that I shall use a licensed ocean transportation intermediary for any ocean transportation intermediary activities performed on my behalf in the United States.

Under penalties of perjury, I declare that I have examined this registration and to the best of my knowledge and belief, it is true, correct and complete.

Note: Certification must be executed by the sole proprietor if registrant is a sole proprietorship, by all partners if registrant is a partnership, by a corporate officer if registrant is a corporation, or by a member if registrant is a limited liability company.



Signature

Frieder Steinwarder

Name

Director

Title

Nov. 22nd, 2016

Date

SCAC CERTIFICATE



December 07, 2016

FRIEDER STEINWARDER
GOLDEN OCEAN LINE LTD
6TH FLOOR FORTUNE CENTRE NO 44-48 YUN PING ROAD
CAUSEWAY BAY,
HONG KONG

CERTIFICATE OF STANDARD CARRIER ALPHA CODE (SCAC) ASSIGNMENT

The Standard Carrier Alpha Code of **GOLE** has been assigned to:

GOLDEN OCEAN LINE LTD
6TH FLOOR FORTUNE CENTRE NO 44-48 YUN PING ROAD
CAUSEWAY BAY,
HONG KONG
NVOCC

This Alpha Code will apply only to the company name shown above through June 30, 2017. **Approximately two months prior to expiration of this SCAC, NMFTA will provide an invoice for renewal which must be promptly returned together with payment to ensure its continued validity.** Should the company name or address change, please notify the National Motor Freight Association, Inc. at the address below. *If you participate in the Bureau of Customs & Border Protection ACE, AMS, CAFES, FAST or PAPS programs, it is your responsibility to ensure that a copy of this letter is forwarded (email preferred) to the following address:*

Customs and Border Protection
Attention: SCAC Beauregard, Cube C-231-1
1801 N. Beauregard Street
Alexandria, VA 20598-1350
AMS.SCAC@DHS.GOV

Alpha Codes ending with the letter "U" have been reserved for the identification of freight containers. If your Alpha Code ends with the letter "U", it should be used only for this purpose. A non-U ending Alpha Code should be obtained to satisfy other requirements such as company identification for Customs, Electronic Data Interchange, freight payments, tariffs, etc.

NOTICE: Assignment of the above listed SCAC is unrelated to participation in the National Motor Freight Classification (NMFC). Further, it does not confer membership in the National Motor Freight Traffic Association, Inc. nor allow use of the NMFC in connection with freight rates. For participation and membership information, please call (703) 838-1810